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java.lang.String Catechism Stay Awhile And Listen

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## Intro



A **catechism** (pronunciation: /ˈkætəˌkizəm/; from Greek: κατηχέω, to teach orally), is a summary or exposition of doctrine and served as a learning introduction to the Sacraments traditionally used in catechesis, or Christian religious teaching of children and adult converts.

**Catechism** - Wikipedia, the free encyclopedia en.wikipedia.org/wiki/Catechism





"Science replaces private prejudice with public, verifiable evidence."

— Richard Dawkins



#### Intro: Disclaimers

#### All tests are done:

- ...by trained professionals: recheck<sup>1</sup> the results before using them
- ...on 1x2x4 i7-4790K (4.0 GHz, HSW): that machine is fast
- ...running Linux x86\_64, 3.13: latest stable Linux Kernel
- ...with a 8u40 EA x86\_64: the latest and greatest JDK
- ...driven by JMH<sup>2</sup>: the latest and greatest benchmarking harness



<sup>&</sup>lt;sup>1</sup>https://github.com/shipilev/article-string-catechism/

<sup>2</sup>http://openjdk.java.net/projects/code-tools/jmh/

## Intro: Strings are abundant

- Humans communicate with text
- Machines follow suit and communicate with text as well: most source code is text, many data interchange formats are text

- Anecdotal data from JEP 192: 25% of heap occupied by String objects
- Anecdotal data: String optimizations usually bring the immediate payoff

Understanding and avoiding cardinal sins is the road to awe.



### Internals



## Internals: java.lang.String inside

```
public final class String implements ... {
  private final char[] value;
  private int hash;
  ...
```

#### Strings are immutable:

- Can use/pass them without synchronization, and nothing breaks
- Can share the underlying char [] array, covertly from user



## Internals: java.lang.String internals

#### Quite a bit of space overhead:

- 8..16 bytes: String header
- 4..4 bytes: String hashcode
- 12..16 bytes: char[] header
- 0..8 bytes: alignment losses

12.24 bytes against char[], 24.44 bytes against wchar\_t\*



#### Internals: Catechism

Q: Should I use Strings to begin with?

A: Absolutely, when you are dealing with text data.

**Q**: What if memory footprint is a concern?

A: There are remedies for that, read on.

Q: I can wind up my own String implementation over char[]!

A: Sure you can, read on for caveats.

Q: Should I wind up my own String implementation?

A: (Silence was the answer, and Engineer left enlightened)



## **Immutable**



## Immutable: Strings are special

### **15.18.1** String Concatenation Operator +

If only one operand expression is of type string, then string conversion (§5.1.11) is performed on the other operand to produce a string at run-time.

The result of string concatenation is a reference to a String object that is the concatenation of the two operand strings. The characters of the left-hand operand precede the characters of the right-hand operand in the newly created string.

The string object is newly created (§12.5) unless the expression is a compile-time constant expression (§15.28).



```
@Benchmark
public String string() {
   String s = "Foo";
   for (int c = 0; c < 1000; c++) {
      s += "Bar";
   }
   return s;
}</pre>
```



```
@Benchmark
public String string() {
  String s = "Foo";
  for (int c = 0; c < 1000; c++) {
    s += "Bar"; // newly created String here
  }
  return s;
}</pre>
```



```
@Benchmark
public String stringBuilder() {
   StringBuilder sb = new StringBuilder();
   for (int c = 0; c < 1000; c++) {
      sb.append("Bar");
   }
   return sb.toString();
}</pre>
```



How bad could it be, anyway?

${ t Benchmark}$	Throughput	, ops/s
string	3250.875	± 18.434
${ t stringBuffer}$	125270.620	$\pm$ 1005.263
${ t stringBuilder}$	116173.291	$\pm$ 422.926

Lots of pain: here, 30x performance penalty for adding a thousand of Strings.

Compilers are only able to help so much (more later).

My JVM hovercraft is full of GC eels.



#### Immutable: Catechism

Q: Why this is so painful?

A: Immutability almost always comes at a cost.

Q: But I like immutability, how to ease the pain?
A: Use Builders to construct immutable objects.

Q: Why can't JDK/JVM optimize this for us?

A: It can, in many cases. But, there is no escape if you want the best possible performance for all possible cases. (No Free Lunch)

Q: Do I need the best possible performance?

A: (Silence was the answer, and Engineer left enlightened)



### Concat



### **Concat: Decompiling**

```
@Benchmark
public String string_2() {
  return s1 + s2;
}
```

#### ...compiles into:



## **SB**: Decompiling

Not suprisingly, StringBuilder.append chains are routinely optimized:

```
@Benchmark
public String sb_6() {
  return new StringBuilder()
    .append(s1).append(s2).append(s3)
    .append(s4).append(s5).append(s6)
    .toString();
}

@Benchmark
public String string_6() {
  return s1 + s2 + s3 + s4 + s5 + s6;
}
```

Try this with -XX: ±0ptimizeStringConcat to quantify...



# SB: StringBuilder opts are good!

Benchmark	N	Score, ns/op			Impr	
		-Opt		+0pt		
stringBuilder	1	13.993	± 0.079	8.694	± 0.080	+61%
stringBuilder	2	20.259	$\pm$ 0.181	12.042	$\pm$ 0.370	+68%
stringBuilder	3	27.015	$\pm$ 0.224	14.831	$\pm$ 0.068	+82%
stringBuilder	4	33.344	$\pm$ 0.546	21.068	$\pm$ 0.087	+58%
stringBuilder	5	38.151	$\pm$ 0.216	25.454	$\pm$ 0.122	+50%
stringBuilder	6	69.626	$\pm$ 1.042	29.856	$\pm$ 0.221	+133%
string	1	2.273	$\pm$ 0.013	2.273	$\pm$ 0.004	0%
string	2	20.410	$\pm$ 0.150	11.793	$\pm$ 0.055	+73%
string	3	27.059	$\pm$ 0.311	14.897	$\pm$ 0.075	+82%
string	4	32.952	$\pm$ 0.446	21.122	$\pm$ 0.177	+56%
string	5	37.978	$\pm$ 0.321	25.349	$\pm$ 0.141	+50%
string	6	70.134	$\pm$ 0.728	29.895	$\pm$ 0.334	+135%



### SB: Implicit SB vs. Explicit Conversion

Because of that, people are surprised how this benchmark behaves:

```
private int x;
@Setup
void setup() { x = 1709; }
@Benchmark
String concat_Pre() { return "" + x: }
@Renchmark
String concat_Post() { return x + ""; }
@Benchmark
String integerToString() { return Integer.toString(x); }
@Renchmark
String stringValueOf() { return String.valueOf(x); }
```



# SB: Implicit SB vs. Explicit Conversion (cont.)

Benchmark	Score,	ns/op	
concat_Post	14.962	± 0.136	
${\tt concat\_Pre}$	15.063	$\pm$ 0.198	
${\tt integerToString}$	21.824	$\pm$ 0.181	
${ t string Value 0f}$	21.979	$\pm$ 0.312	

Implicit concatenation is faster than explicit conversions?

- StringBuilder optimizations kick in, and append(int) is actually faster!
- And will be slower with -XX:-OptimizeStringConcat



#### SB: Side Effects

Let's make it a little bit more complicated...

```
private int x:
@Setup
void setup() { x = 1709; }
@Benchmark
                         { return "" + x; }
String concat_just()
@Benchmark
String concat_side()
                            { x--; return "" + (x++); }
@Benchmark
String integerToString_just() { return Integer.toString(x); }
@Benchmark
String integerToString_side() { x--; return Integer.toString(x++); }
```

# SB: Side Effects (cont.)

Benchmark	Score,	ns/op
concat_just	14.868	± 0.057
${\tt integerToString\_just}$	21.684	$\pm$ 0.094
${ t stringValueOf_just}$	21.622	$\pm$ 0.090
concat_side	27.263	$\pm$ 0.262
integerToString_side	21.625	$\pm$ 0.093
${ t stringValueOf\_side}$	21.682	$\pm$ 0.138

- Once we have a side-effect in append() call, optimization bails out<sup>3</sup>
- On deopt, need to «unwind» the execution, but unable to do so for stores
- Moving the memory stores out of append() args helps





## Lazy Logging: Trouble

```
private int x;
private boolean enabled:
void log(String msg) {
  if (enabled) {
    System.out.println(msg);
@Benchmark
void heap_string() {
  log("Wow, __x_is_such_" + x + "!");
@Benchmark
void heap_string_guarded() {
  if (enabled) {
    log("Wow, _ux_uis_usuch_u" + x + "!");
```

- Concatenation happens before the enabled check
- Wasting precious time constructing the strings we don't care about
- Therefore, most people opt to guard the logger calls before even touching the strings



## Lazy Logging: Trouble

```
private int x:
private boolean enabled;
@Renchmark
void heap_lambda() {
  log(() -> "Wow, ||such||" + x + "!");
@Benchmark
void noArg_lambda() {
  log(() -> "Such | message, | wow.");
@Benchmark
public void local_lambda() {
  int 1x = x;
  log(() -> "Wow, ||such||" + lx + "!");
```

- We can do much better with lambdas: deferred execution without a syntactic mess
- There is a bit of the underlying difference when referencing locals, fields, or nothing



## Lazy Logging: Lazy Logging

${ t Method}$	Time, ns/op					
	heap		local		noArgs	
string	19.298	± 0.399	17.718	± 0.248	0.381	± 0.007
lambda	1.893	$\pm$ 0.011	1.809	$\pm$ 0.019	0.385	$\pm$ 0.013
${ t string\_guarded}$	0.385	$\pm$ 0.010	0.381	$\pm$ 0.004	0.383	$\pm$ 0.007

Lambdas rock! The explicit guard still wins, but not by a large margin: capturing lambdas (yet) need instantiation.



#### Concat: Catechism

**Q**: Should I be worried about concatenation costs?

A: You should in all non-trivial cases. You can't help much in trivial cases.

Q: What concatenation cases are non-trivial?

A: Any pattern involving control flow, side effects, unpredictable values.

**Q**: Are StringBuilder-s flawless?

A: They are aggressively optimized, but sometimes even those optos fail.

Q: I am PL professional, give me lazy-val, call-by-name, and shut up.

A: (points to JDK 8 release, and PL professional leaves enlightened)



## **Hash Codes**



## Zeroes: P(31) hashcode

Spec says String.hashCode is a P(31) polynomial hashcode:

$$h(s) = \sum_{k=0}^{n-1} 31^{n-k-1} s_k \begin{tabular}{ll} & \text{public int hashCode() } \{ & & & \\ & & \text{int h = 0;} \\ & & \text{for (char v : value) } \{ \\ & & \text{h = 31 * h + v;} \\ \\ & & \text{hash = h;} \end{tabular}$$

Time complexity is  $\Omega(N)$  and O(N).



### Zeroes: Trying...

```
String str1, str2;
@Setup
public void setup() {
  str1 = "лжеотождествление | электровиолончели"; // same length
  str2 = "электровиолончели лжеотождествление"; // same length
@Benchmark
int test1() { return str1.hashCode(); }
@Benchmark
int test2() { return str2.hashCode(); }
```



## Zeroes: Trying...

```
String str1, str2;
@Setup
public void setup() {
  str1 = "лжеотождествление" электровиолончели"; // same length
  str2 = "электровиолончели лжеотождествление"; // same length
@Benchmark
int test1() { return str1.hashCode(); } // 22.663 \pm 0.056 ns/op
@Benchmark
int test2() { return str2.hashCode(); } // 0.758 ± 0.002 ns/op
```

### **Zeroes: Actual Implementation**

```
public int hashCode() {
  int h = hash;
  if (h == 0) {
    for (char v : value) {
      h = 31 * h + v:
    hash = h;
  return h;
```

- Actual code caches hashcodes
- Immense improvements in most scenarios, justifying 4 bytes per instance
- By pigeonhole principle, some Strings are bound to have hs(s) = 0, sucks to be them
- It is a sane engineering tradeoff to have a performance anomaly with  $2^{-32}$  probability



## Collisions: Walking on a Sunshine

```
// carefully populated with unicorn dust:
HashMap < String , String > sunshine;
@Benchmark void keySet(Blackhole bh) {
  for (String key : sunshine.keySet()) {
    bh.consume(sunshine.get(key));
@Benchmark void entrySet(Blackhole bh) {
  for (Map.Entry < String, String > e : sunshine.entrySet()) {
    bh.consume(e):
```

### Collisions: Using JDK 7u0...

${\tt Benchmark}$	Size	Time, ns	ns/key	
entrySet	1	14.134	± 0.028	14.1
${\tt entrySet}$	10	47.427	$\pm$ 0.269	4.7
${\tt entrySet}$	100	294.148	$\pm$ 0.934	2.9
${ t entrySet}$	1000	5366.982	$\pm$ 802.857	5.4
${\tt entrySet}$	10000	67394.472	$\pm$ 456.576	6.7
keySet	1	18.463	$\pm$ 0.500	18.4
keySet	10	279.816	$\pm$ 6.783	27.8
keySet	100	22266.667	$\pm$ 179.695	222.7
keySet	1000	2716486.481	$\pm$ 10145.741	2716.5
keySet	10000	355309390.210	$\pm$ 1214802.832	355309.4

keySet performance rapidly deteriorates:  ${\cal O}(N^2)$ 



#### Collisions: Algorithmic Attacks

Polynomial hash functions make artificial collisions a piece of cake.

Suppose this expansion:

$$h(s) = \sum_{k=0}^{n-1} 31^{n-k-1} s_k = \left[\sum_{k=0}^{n-3} 31^{n-k-1} s_k\right] + 31 s_{n-2} + s_{n-1}$$

Then, if strings a and b have common prefix in [0..n-3]:

$$h(a) = h(b) \Leftrightarrow 31(a_{n-2} - b_{n-2}) = (a_{n-1} - b_{n-1})$$

...and that is super-easy, suppose a = "...Aa" and b = "...BB".



#### Collisions: Why should I care?

- Alice is running her battle-hardened HTTP server, patched up for Heartbleed, Shellshock, all these fancy-named vulnerabilities.
   Alice is serious about security.
- Mallory giggles and sends the HTTP Request with these HTTP Headers:

```
 "X-Conference-AaAaAaAa: \_ JokerConf\_2014, \_ Why\_So\_Serious?" \\ "X-Conference-AaAaAaBB: \_ JokerConf\_2014, \_ Why\_So\_Serious?" \\ "X-Conference-AaAaBBAa: \_ JokerConf\_2014, \_ Why\_So\_Serious?" \\ "X-Conference-AaAaBBBB: \_ U_D Why\_So\_Serious?" \\ "X-Conference-AaAaBBBB: \_ U_D Why\_So\_Serious?" \\ "X-Conference-AaAaBBB: \_ U_D Why\_So\_Serious?"
```

 Alices's web server accepts the request, stores HTTP Headers in Map<String, String>, and then tries to process them. Boom, resource exhaustion and possible DoS.



## Collisions: Using JDK 8

${\tt Benchmark}$	Size	Time, n	ns/key	
entrySet	1	11.674	± 0.040	11.7
${ t entrySet}$	10	36.301	$\pm$ 0.076	3.6
${ t entrySet}$	100	278.057	$\pm$ 0.726	2.8
${ t entrySet}$	1000	3606.722	$\pm$ 21.441	3.6
${ t entrySet}$	10000	86459.477	$\pm$ 626.407	8.6
keySet	1	15.050	$\pm$ 0.084	15.0
keySet	10	253.241	$\pm$ 0.650	2.5
keySet	100	10072.577	$\pm$ 144.418	100.7
keySet	1000	158591.766	$\pm$ 1202.430	158.6
keySet	10000	2355039.389	$\pm$ 12087.352	235.3

keySet is now O(NlogN) — not as bad



### Collisions: Another quirks

http://www.zlib.net/crc\_v3.txt

In particular, any CRC algorithm that initializes its register to zero will have a blind spot of zero when it starts up and will be unable to "count"a leading run of zero bytes. As a leading run of zero bytes is quite common in real messages, it is wise to initialize the algorithm register to a non-zero value.

The same applies to String.hashCode.
Thank God, NUL-prefixed Strings are not common.



#### Hash Codes: Catechism

**Q**: Should I care about String.hashCode?

A: Most likely not, unless you expose your naked Maps for user input.

Q: Should I wrap the Strings with my own hashCode implementation?

**A**: In some very rare cases, yes.

Q: Why TIAO wouldn't change the String.hashCode computation?

A: The P(31) hashcode is spec-ed in so many places, it can't be changed now.

Q: That hashCode caching thing at zero bothers me, can be do a boolean flag?

A: That will explode String footprint by 8 bytes in worst case.



# ${\bf Substring}$



### Substring: JDK 8

Seasoned Java devs can wonder...



### Substring: JDK 8

Seasoned Java devs can wonder... where are offset and count fields?



### Substring: JDK < 7u6

```
java.lang.String object internals:

OFFSET SIZE TYPE DESCRIPTION

O 12 (object header)

12 4 char[] String.value

16 4 int String.offset

20 4 int String.count

24 4 int String.hash

28 4 (alignment loss)

Instance size: 32 bytes
```

Here they are! Left behind the enemy lines in JDK 7.



#### Substring: Benchmark

```
@Param({"0", "30", "60", "90", "120"})
int limit;
String str:
@Setup
public void setup() {
  str = "JokerConf<sub>11</sub>2014:<sub>11</sub>Why<sub>11</sub>So<sub>11</sub>Serious?<sub>11</sub>" +
         "JokerConf.,2014:,,Whv,,So,,Serious?,," +
         "JokerConf., 2014:..Whv., So., Serious?.." +
         "JokerConf,,2014:,,Whv,,So,,Serious?,,":
@Benchmark
String head() { return str.substring(limit); }
@Benchmark
String tail() { return str.substring(0, limit); }
```



# Substring: JDK < 7u6: Sharing

Limit	Score, ns/op			
	he	ad	ta	il
0	2.278	± 0.007	3.763	± 1.091
30	3.566	$\pm$ 0.261	3.626	$\pm$ 0.787
60	3.524	$\pm$ 0.159	3.466	$\pm$ 0.188
90	3.763	$\pm$ 0.431	3.464	$\pm$ 0.089
120	3.713	$\pm$ 1.053	3.446	$\pm$ 0.141

- substring() only instantiates Strings, shares char[] arrays
- This is believed to cause memory leaks: think large XML and substring on it



## Substring: JDK 8: Copying

Limit	Score, ns/op			
	hea	ad	ta	il
0	2.277	$\pm$ 0.012	19.401	$\pm$ 0.317
30	22.976	$\pm$ 0.074	10.066	$\pm$ 0.049
60	16.875	$\pm$ 0.071	15.202	$\pm$ 0.116
90	12.782	$\pm$ 0.088	21.720	$\pm$ 0.574
120	11.086	$\pm$ 0.354	26.602	$\pm$ 0.123

- substring() now copies the entire char[] array
- Works reasonably well for small substrings, avoids memory leaks



### Substring: Catechism

**Q**: New substring sounds bad, can I get it back?

A: No, you can't.

**Q**: But why?

A: Real memory leaks are worse than potential performance issues.

**Q**: What if I need O(1) substring?

A: That means you care about this enough to make your own storage.

Q: But my application was using substring for performance reasons!

A: (Points to a String.substring Javadoc, and Engineer leaves enlightened)



#### Intern



### Intern: Interning vs. Deduplication

#### **Deduplication:**

Reduce # of instances in each equivalence class

#### Interning (canonicalization):

Reduce # of instances in each equivalence class to one (canonical) instance.

- As usual, enforcing stronger property costs more
- In many cases, you want deduplication, not interning



#### Intern: User Interners

Interning is dead-simple, and can be done by hand:

```
public class CHMInterner <T> {
  private final Map < T, T > map;
  public CHMInterner() {
    map = new ConcurrentHashMap <>();
  public T intern(T t) {
   T exist = map.putIfAbsent(t, t);
    return (exist == null) ? t : exist;
```



#### Intern: User Interners (cont.)

Benchmark Size		Time, u	ıs/op
chm	100	2.448	± 0.014
$\mathtt{chm}$	10000	242.994	$\pm$ 0.944
$\mathtt{chm}$	1000000	47537.076	$\pm$ 2123.834
hm	100	0.929	$\pm$ 0.008
hm	10000	133.796	$\pm$ 0.748
hm	1000000	35349.188	$\pm$ 1188.810
intern	100	8.011	$\pm$ 0.277
intern	10000	891.871	$\pm$ 13.602
intern	1000000	315664.776	$\pm$ 17821.360

(Throw-away) (Concurrent) HashMap is order of magnitude better!



#### Intern: And the reason is:

String.intern() is a gateway to VM internal StringTable. StringTable is fixed-size, and almost always overloaded:

```
-XX:+PrintStringTableStatistics
StringTable statistics:
Number of buckets : 60013 = 480104 bytes, avg 8.000
Number of entries : 1002451 = 24058824 bytes, avg 24.000
Number of literals : 1002451 = 64168512 bytes, avg 64.012
Total footprint : 88707440 bytes
Average bucket size : 16.704
Variance of bucket size : 9.731
Std. dev. of bucket size : 3.119
Maximum bucket size : 27
```

User-issued String.intern() calls only make it worse!



### Intern: User Deduplicators

Relaxing the canonicalization requirement may bring the performance:

```
public class CHMDeduplicator<T> {
  private final int prob:
  private final Map < T, T > map;
  public CHMDeduplicator(double prob) {
   this.prob = (int) (Integer.MIN_VALUE + prob * (1L << 32));
   this.map = new ConcurrentHashMap <> ();
  public T dedup(T t) {
    if (ThreadLocalRandom.current().nextInt() > prob) {
      return t:
   T exist = map.putIfAbsent(t, t);
    return (exist == null) ? t : exist;
```



## Intern: Probabilistic Deduplicators

	time, us/op					
Prob	chm		hm		intern	
0.0	3.291	± 0.039	3.286	± 0.030	3.336	± 0.084
0.1	6.953	$\pm$ 0.039	7.289	$\pm$ 0.760	13.165	$\pm$ 0.109
0.2	10.437	$\pm$ 0.348	9.723	$\pm$ 0.669	22.493	$\pm$ 0.127
0.3	13.416	$\pm$ 0.156	12.027	$\pm$ 0.146	31.983	$\pm$ 0.257
0.4	16.457	± 0.098	14.162	$\pm$ 0.081	40.367	$\pm$ 0.292
0.5	19.146	$\pm$ 0.123	15.926	$\pm$ 0.141	49.379	± 0.806
0.6	21.727	± 1.049	16.693	$\pm$ 0.285	56.614	$\pm$ 0.595
0.7	22.465	$\pm$ 0.154	15.996	$\pm$ 0.135	63.389	± 1.061
0.8	23.712	$\pm$ 0.568	15.414	$\pm$ 0.092	70.731	$\pm$ 2.515
0.9	25.775	$\pm$ 0.961	13.986	$\pm$ 0.121	76.481	$\pm$ 0.770
1.0	26.140	± 0.089	11.582	$\pm$ 0.046	118.165	± 30.009



Why can't JVM do this for us?

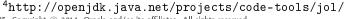


-XX:+UseG1GC -XX:+UseStringDeduplication



```
public static void main(String... args) {
 List < String > strs = ...;
 String last = GraphLayout.parseInstance(strs).toFootprint();
 System.out.println("***;Original:;" + last);
 for (int gc = 0; gc < 100; gc ++) {
    String cur = GraphLayout.parseInstance(strs).toFootprint();
    if (!cur.equals(last)) {
      System.out.println("***uGCuchanged:u" + cur);
     last = cur:
   System.gc();
```

Use JOL<sup>4</sup> to estimate the memory footprint.





```
*** Original:
java.util.ArrayList instance footprint:
     COUNT
                 ΔVG
                           SIIM
                                 DESCRIPTION
     10000
                  47
                         472000
                                 ΓС
               56232
                         56232 [Ljava.lang.Object;
     10000
                  24
                        240000
                                  java.lang.String
                  24
                            24
                                  java.util.ArrayList
     20002
                        768256
                                  (total)
*** GC changed:
java.util.ArravList instance footprint:
     COUNT
                 AVG
                           SUM
                                  DESCRIPTION
       100
                  47
                           4720 [C
               56232
                         56232 [Ljava.lang.Object;
     10000
                  24
                        240000 java.lang.String
                  24
                            24
                                  java.util.ArrayList
     10102
                        300976
                                  (total)
```

Notice the char [] arrays are de-duplicated.



```
*** GC changed:
java.util.ArrayList instance footprint:
     COUNT
                 ΔVG
                            SIIM
                                  DESCRIPTION
                  47
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                          56232 [Ljava.lang.Object;
     10000
                          240000
                                  java.lang.String
                  24
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                             24
                                  java.util.ArrayList
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java.util.ArravList instance footprint:
     COUNT
                 AVG
                            SUM
                                  DESCRIPTION
       100
                  47
                           4720 [C
               56232
                          56232
                                  [Ljava.lang.Object;
       100
                  24
                            2400 java.lang.String
                  24
                             24
                                  java.util.ArrayList
       202
                          63376
                                  (total)
```

Hand-rolled deduplicator can also reduce the number of String-s.



#### Intern: Catechism

Q: But I read so much on using String.intern for improving footprint.

A: http://en.wikipedia.org/wiki/Hanlon's\_razor

Q: I will use String.intern just on this tiny little location.

A: Excellent, you already know where your bottlenecks are going to be.

Q: Why wouldn't TIAO optimize String.intern?

A: We are improving it. It does not help the *misuse* of String.intern.

Q: Should I rely on GC deduplication for ultimate memory savings?

A: Identity rules disallow us to merge objects, you have to merge them yourself.



# **Equals**



#### **Equals: Testing basic things**

```
String bar10_0 = "BarBarBarA", bar10_1 = "BarBarBarA";
String bar10_2 = "BarBarBarB", bar10_3 = "ABarBarBar";
String bar11 = "BarBarBarAB";
@Benchmark
                           { return bar10_0.equals(bar10_1); }
boolean sameChar()
@Benchmark
boolean sameLen_diffEnd() { return bar10_0.equals(bar10_2); }
@Benchmark
boolean sameLen_diffStart() { return bar10_0.equals(bar10_3); }
@Benchmark
boolean differentLen()
                           { return bar10_0.equals(bar11); }
```



#### **Equals: Basic characteristics**

${\tt Benchmark}$	Score,	ns/op
${ t sameChar}$	0.994	± 0.044
${\tt differentLen}$	1.316	$\pm$ 0.007
${\tt sameLen\_diffEnd}$	4.556	$\pm$ 0.014
${\tt sameLen\_diffStart}$	2.565	$\pm$ 0.010

- Strings instantiated off the same constant are interned, == check is fast
- Strings of different lengths are not compared at all
- Strings are matched from start to end



#### **Equals: Implementation**

```
public boolean equals(Object anObject) {
 if (this == anObject) {
   return true:
  if (anObject instanceof String) {
    String anotherString = (String)anObject;
   int n = value.length;
   if (n == anotherString.value.length) {
      char v1[] = value:
      char v2[] = anotherString.value;
      int i = 0:
      while (n-- != 0) {
       if (v1[i] != v2[i])
         return false:
         i++:
      return true;
 return false:
```

«I think this version is welloptimized, and you can gain nothing here...» (somebody on StackOverflow)



#### **Equals: Intrinsics**

Benchmark	Score, ns/op			
	defa	ault	disal	${ t oled}^5$
sameChar	0.994	± 0.044	1.011	± 0.003
${\tt differentLen}$	1.316	$\pm$ 0.007	1.325	$\pm$ 0.015
${\tt sameLen\_diffEnd}$	4.556	$\pm$ 0.014	9.654	$\pm\; \texttt{0.052}$
${\tt sameLen\_diffStart}$	2.565	$\pm$ 0.010	2.989	$\pm$ 0.050

- The actual equals() implementation is intrinsified
- Blindly rewriting the Java implementation will not be faster
- How can intrinsified implementation be 2x faster than «optimal» Java code?



<sup>5-</sup>XX:+UnlockDiagnosticVMOptions -XX:DisableIntrinsic=::\_equals

### **Equals: Intrinsics (cont.)**

#### Intrinsic version is vectorized:

```
5.23% 3.42% 0x00007f1b8c93de95: mov (%rdi,%rcx,1),%ebx
14.73% 4.01% 0x00007f1b8c93de98: cmp (%rsi,%rcx,1),%ebx
0x00007f1b8c93de9b: jne 0x00007f1b8c93debb
26.39% 27.41% 0x00007f1b8c93de9d: add $0x4,%rcx
0x00007f1b8c93dea1: jne 0x00007f1b8c93de95
```

- Notice comparing in 4-byte strides
- This works regardless of whether compiler can or can't auto-vectorize
- VM will select SSE, AVX, etc to efficiently compare.



#### **Equals: Catechism**

**Q**: I have this very nifty idea of optimizing String.equals...

A: If you are not prepared to deal with low-level assembly, do not even start.

Q: Why would you need a Java version for String.equals then?

A: Interpreter, C1, and other compilers still use this as the fallback code.

**Q**: Should I intern the Strings and then == on them instead?

A: It would be easier to just check the hashCode before.

Q: But interning is so much easier!

A: (silence is the answer, and Programmer leaves enlightened)



# Regexps



#### Regexps: splitting

```
String text = "Глокаяцкуздрашштекопбудланулапбокрацицкурдячит бокрёнка
String textDup = text.replaceAll("", "", "");
Pattern pattern = Pattern.compile("");
@Benchmark
                            { return text.split(""); }
String[] charSplit()
@Benchmark
                            { return textDup.split(""); }
String[] strSplit()
@Benchmark
String[] strSplit_pattern() { return pattern.split(textDup); }
```



### Regexps: Splitting

Benchmark	Time, ns/op
	191.657 ± 1.798
strSplit	$527.952$ $\pm 5.578$
strSplit_pattern	$416.219  \pm 4.075$

- charSplit has a fast-path for a single-char patterns
- strSplit uses Pattern to match: do not be suprised it works much slower
- strSplit\_pattern reuses the Pattern: saves a few cycles



### Regexps: Other methods

Lots of other String methods are using Pattern implicitly:

- matches(String regex)
- replaceFirst(String regex, String replacement)
- replaceAll(String regex, String replacement)
- replace(CharSequence target, CharSequence replacement)
- split(String regex)
- split(String regex, int limit)

You may want to cache Pattern in performance-critical places.



### Regexps: Backtracking

Searching with Pattern.compile("(x+x+)+y"):

Text	Time, ns/op			
size	"xxxxy"		"xxxx"	
4	94.520	± 1.270		
6	96.848	$\pm$ 0.936		
8	102.765	$\pm$ 1.568		
10	106.553	$\pm$ 5.027		
12	106.786	$\pm$ 1.515		
14	111.983	$\pm$ 1.573		
16	115.642	$\pm$ 2.114		



### Regexps: Backtracking

Searching with Pattern.compile("(x+x+)+y"):

Text	Time, ns/op			
size	"xxxxy"		"xx	xx"
4	94.520	± 1.270	291.830	± 9.274
6	96.848	$\pm$ 0.936	1049.571	$\pm$ 7.291
8	102.765	$\pm$ 1.568	4028.029	$\pm$ 49.917
10	106.553	$\pm$ 5.027	15900.084	$\pm$ 263.320
12	106.786	$\pm$ 1.515	61694.528	$\pm$ 704.420
14	111.983	$\pm$ 1.573	245397.200	$\pm$ 1528.407
16	115.642	$\pm$ 2.114	989130.322	$\pm$ 11201.690

Given the mismatching text, the regexp catastrophically backtracks.



### Regexps: Catechism

**Q**: Should I care? I would never use regular expressions.

A: Yes, you will. Learn how to deal with them before it's too late.

**Q**: Okay, what are the major improvements I can do?

A: Simplify and cache Pattern-s.

**Q**: Catastrophic backtracking sounds very theoretical, do I have to care?

A: Yes. Unsanitized texts and/or unsanitized regexps are the way to DoS.

Q: Stand back! I know Regular Expressions!

A: (stands back, and Engineer smacks into wall achieving enlightenment.)



# Walking



#### Walking: charAt vs toCharArray

```
@Benchmark
public int charAt() {
 int r = 0;
 for (int c = 0; c < text.length(); c++) {
   r += text.charAt(c);
 return r:
@Benchmark
public int toCharArray() {
 int r = 0;
 char[] chars = text.toCharArray();
 for (int c = 0; c < text.length(); c++) {
   r += chars[c]:
 return r:
```



### Walking: charAt vs toCharArray

Benchmark	Size	Time, n	s/op
charAt	1	2.152	± 0.002
${\tt charAt}$	10	4.794	$\pm$ 0.001
charAt	100	51.579	$\pm$ 0.016
charAt	1000	734.582	$\pm$ 0.335
${ t to Char Array}$	1	6.502	$\pm$ 0.034
${ t toCharArray}$	10	9.951	$\pm$ 0.050
${ t toCharArray}$	100	61.204	$\pm$ 1.179
${ t toCharArray}$	1000	1242.236	$\pm$ 4.591

- charAt bound-checks, but those are nicely optimized out
- toCharArray pays for spare memory allocation



### Walking: charAt vs toCharArray (spoiled)

```
@Benchmark
public int charAt_spoil() {
  int r = 0:
 for (int c = 0; c < text.length(); c++) {
    spoiler(); // empty non-inlineable
    r += text.charAt(c);
  }
  return r:
@Benchmark
public int toCharArray_spoil() {
  int r = 0:
  char[] chars = text.toCharArray();
 for (char c : chars) {
    spoiler(); // empty non-inlineable
    r += c;
  return r:
```



## Walking: charAt vs toCharArray (spoiled)

Benchmark	size	Score,	ns/op
charAt_spoil	1	4.750	± 1.073
${ t charAt\_spoil}$	10	32.306	$\pm$ 0.019
${\tt charAt\_spoil}$	100	607.965	$\pm$ 0.206
${ t charAt\_spoil}$	1000	10247.538	$\pm$ 1552.360
toCharArray_spoil	1	8.903	$\pm$ 0.042
toCharArray_spoil	10	28.550	$\pm$ 0.100
toCharArray_spoil	100	435.444	$\pm$ 3.398
${ t to Char Array\_spoil}$	1000	6559.925	$\pm\; 22.723$

- When VM is unable to track text, devirt and bounds-check elimination fail
- Local array is perfectly fine



### Walking: Catechism

Q: Should I copy out the char[] array or not?

A: If you don't need performance, both approaches are the question of style.

**Q**: I care about performance, should I copy out the char[] array?

A: You should, in non-trivial case.

**Q**: What is considered non-trivial case?

A: Non-local control flow, volatile reads, etc. that break commonning.

Q: This sucks. There is no universal best-performance way?

A: (silence was the answer, and Engineer left enligthened)



### Search



#### Search: Character searches

Searching in "abcdefghiklmnopqrstuvxyz":

image	Time, ns/op			
	index0f		lastIn	ndexOf
a	1.306	± 0.001	8.557	± 0.036
m	4.879	$\pm$ 0.002	5.738	$\pm$ 0.006
z	7.360	$\pm$ 0.010	1.677	$\pm$ 0.000

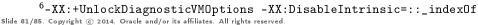
- Both indexOf and lastIndexOf are O(n), obviously
- Either is more performant if searched from the start or the end



#### Search: Intrinsics

Benchmark	Image	Score, ns/op			
		+Opt		-0p	$t^6$
index0f	abc	5.036	± 0.002	4.912	± 0.080
indexOf	mno	7.049	$\pm$ 0.004	9.875	$\pm$ 0.076
indexOf	xyz	11.595	$\pm$ 0.004	12.790	$\pm$ 0.071
${\tt lastIndex0f}$	abc	13.977	$\pm$ 0.034	13.956	$\pm$ 0.031
${\tt lastIndex0f}$	mno	10.588	$\pm$ 0.003	10.588	$\pm$ 0.004
${ t lastIndex0f}$	xyz	5.369	$\pm$ 0.002	5.370	$\pm$ 0.002

- Real implementation of indexOf is intrinsified
- Uses SSE/AVX extensions to search for a match





#### Search: Genome Search

Searching for a sequence of codons in Human Y chromosome:

${\tt Benchmark}$	Time,	ms/op
indexOf	48.262	± 0.434
${ t wikipediaBM}$	16.741	$\pm$ 0.497

- str.indexOf(im) is a naive search
- wikipediaBM is the copy-paste from Boyer-Moore wiki page<sup>7</sup>



#### Search: Genome Search

Searching for a sequence of codons in Human Y chromosome:

${\tt Benchmark}$	Time,	ms/op
index0f	48.262	± 0.434
wikipediaBM	16.741	$\pm$ 0.497
${ t matcherFind}$	21.223	$\pm$ 0.429

- str.indexOf(im) is a naive search
- wikipediaBM is the copy-paste from Boyer-Moore wiki page<sup>7</sup>
- pattern(im).matcher(str).find() also uses BM



<sup>&</sup>lt;sup>7</sup>http://en.wikipedia.org/wiki/Boyer-Moore\_string\_search\_algorithm

#### Search: Catechism

**Q**: Why there is no optimal string search algo in JDK?

A: «Optimal» is in the eye of beholder.

Q: Why would you maintain a trivial String.indexOf anyway?

A: Small images are working better with trivial search.

Q: Java sucks for <insert domain here> because of indexOf.

A: (points to 3rd party libraries, and Engineer leaves enlightened)



### Conclusion



#### Conclusion: ...



- Strings are well-optimized:
  - Learning what optimizations are there, and how you can employ them is a useful skill
  - Learning what JDK/VM does is a useful skill
- Performance advice has a generally low «shelf life»:
  - Re-learn stuff as you go
  - Do not trust folklore

